



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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*ghw*

Applicant: John Ruckart  
Serial No.: 09/888,929  
Filed: June 25, 2001  
Title: Audio Caller Identification

Examiner: Gauthier, Gerald  
Group Art Unit: 2645  
Docket: 60027.0003US01/BS# 00376  
Confirmation No.: 9717

CERTIFICATE UNDER 37 CFR 1.8:

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on October 6, 2004.

By: *Alton Hornsby*

Name: Alton Hornsby, III

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**39262**

PATENT TRADEMARK OFFICE

Sir:

We are transmitting herewith the attached:

- ☒ Transmittal Sheet in duplicate containing Certificate of Mailing
- ☒ Information Disclosure Statement, Form 1449, 10 Reference(s)
- ☒ Please charge Deposit Account No. 13-2725 in the amount of \$180.00 for the fee to cover the Information Disclosure Statement
- ☒ Return postcard

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers or any future reply, if appropriate. Please charge any additional fees or credit overpayment to Deposit Account No. 13-2725. A duplicate of this sheet is enclosed.

MERCHANT & GOULD P.C.  
P.O. Box 2903, Minneapolis, MN 55402-0903  
404.954.5100

By: *Alton Hornsby*

Name: Alton Hornsby, III

Reg. No.: 47,299

AH



S/N 09/888,926

PATENT

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Filed: June 25, 2001                      Docket No.: 60027.0003US01/BS# 00376  
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By: 

Name: Alton Hornsby, III

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT (37 C.F.R. § 1.97(c))

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner.

This statement should be considered because it is submitted after the mailing date of a final action under 37 C.F.R. § 1.113 or after the mailing date of the Notice of Allowance under 37 C.F.R. § 1.311 or after any other action that closes prosecution on the application, but before the payment of the issue fee. Please charge Deposit Account No. 13-2725 in the amount of \$180.00 for the fee set forth in 37 C.F.R. § 1.17(p) for consideration of the items listed on the enclosed Form 1449.

In accordance with 37 C.F.R. § 1.98(a)(2), a copy of each document or other information listed on the enclosed Form 1449 is provided.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

10/13/2004 HVUONG1 00000036 132725 09888929

01 FC:1806 180.00 DA

10/14/2004 CCHAU1 00000005 132725 09888926

01 FC:1806 180.00 DA

Adjustment Date: 10/14/2004 HVUONG1  
10/13/2004 HVUONG1 00000036 132725 09888929  
01 FC:1806 180.00 DA

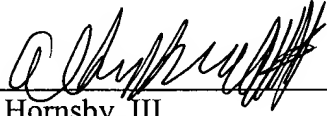
Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Please charge any additional fees or credit any overpayment to Deposit Account No. 13-2725.

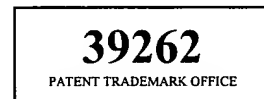
Respectfully submitted,

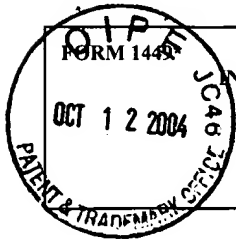
MERCHANT & GOULD, LLC

Date: October 6, 2004

  
\_\_\_\_\_  
Alton Hornsby, III  
Reg. No. 47,299

MERCHANT & GOULD, LLC  
P.O. Box 2903  
Minneapolis, MN 55402-0903  
404.954.5100





FORM 1449

## INFORMATION DISCLOSURE STATEMENT

IN AN APPLICATION

(Use several sheets if necessary)

Docket Number:

60027.0003US01/BS# 00376

Application Number:

09/888,926

Applicant: John Ruckart

Filing Date: June 25, 2001

Group Art Unit: 2645

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5,511,111	04/1996	Serbetcioglu, et al.	379	67	
	5,796,806	08/1998	Birckbichler	379	67	
	5,963,626	10/1999	Nabkel	379	142.01	
	6,031,899	02/2000	Wu	379	142.01	
	6,327,347 B1	12/2001	Gutzmann	379	88.02	
	6,332,021 B2	12/2001	Latter et al.	379	142.01	
	6,347,136 B1	02/2002	Horan	379	142.01	
	6,400,809 B1	06/2002	Bossemeyer, Jr. et al.	379	88.21	
	6,498,841 B2	12/2002	Bull et al.	379	142.08	

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

		Office Action dated September 9, 2004, Application No. 09/888,832, Filed June 25, 2001, entitled "Visual Caller Identification," inventor: John Ruckart.

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PATENT TRADEMARK OFFICE

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.